

Risk Management Policies

Following are the Risk Management Policies at present of Share Shoppe:

1. Margins:

(i) For Intraday Trading

Segment	Equity	Future [#]	Options Buy/Sell	Commodity	Currency
Margin Provided	You can see our list here * On all other scrips we provide 1x	5x	1x**	5x	5x
MTM based Auto- Sq. Off %	Auto square off at 80%	Auto square off at 80%	Auto square off at 80% in case of selling	Auto square off at 80%	Auto square off at 80%
Square Off Time	3:15p.m.	3:15p.m.	3:15p.m.	15 min before market closes	15 min before market closes
Margin against stocks	****After exchange haircut	****After exchange haircut	****After exchange haircut	None*** After 50% or Exchange haircut (whichever is higher)	****After exchange haircut

(ii) For Carry

Segment	Equity	Future	Options Buy/Sell	Commodity	Currency
Margin Provided	1x	1x	1x	1x	1x
MTM based Auto- Sq. Off %	Auto square off at 80%	Auto square off at 80%			
Margin against stocks	****After exchange haircut	****After exchange haircut	****After exchange haircut	None*** After 50% or Exchange haircut (whichever is higher)	****After exchange haircut

*We provide limit on specified scrips as enlisted by us on our list. On all other scrips we provide 1x limit.

**For Index Options and Stock Options, upto 10% range to market price of underlying stocks will be provided.

***The clients shall have separate holdings or funds for equity and commodity with Swastika Investmart Ltd. and Swastika Commodity Pvt. Ltd. respectively.

****Pledging Charges: Min. Rs 50/- or 0.02% on total value whichever is higher will be charged subject to maximum Rs 300/-.

For Futures

Expiry Allowed

NSE-FUTIDX	Current Month	Near Month	Far Month
NSE-FUTSTK	Current Month	Near Month	-
BSE-FUTIDX	Current Month	-	-
BSE-FUTSTK	Current Month	-	-

2. Deposit of Margin in Future and Option Segment:

As per exchange norms trading member require to deposit margin in the form of cash & securities (collateral) wherein cash component should be at least 50% of margin. Company has set norms for clients to full fill the margin requirement by way of cash and available securities according to the margin amount as per following:

Rs 5 Lacs or below: Client can give 100% margin by way of available approved securities.

Between Rs 5 - 10 Lacs: Available approved securities will be considered against 75% of margin amount & minimum 25% of margin must be in cash form (Credit Balance in Ledger).

Above Rs 10 Lacs: Available securities will be considered against the 50% of margin amount & minimum 50% of margin must be in cash form.

Between Rs 50 Lacs - 1 Crore: Available securities (At least 15% of stock belongs to NIFTY or SENSEX) will be considered against the 50% of margin amount & minimum 50% of margin must be in cash form.

Between Rs. 1 Crore - 2 Crore: Available securities (At least 25% of stock belongs to NIFTY or SENSEX) will be considered against the 50% of margin amount & minimum 50% of margin must be in cash form.

Between Rs. 2 Crore - 3 Crore: Available securities (At least 50% of stock belongs to NIFTY or SENSEX) will be considered against the 50% of margin amount & minimum 50% of margin must be in cash form.

Rs. 3 Crore & above: Available securities (At least 50% of stock belongs to NIFTY or SENSEX) will be considered against the 40% of margin amount & minimum 60% of margin must be in cash form.

3. Disclosure for monthly/quarterly settlement: Clients whose funds and securities are maintained on a running account basis will be settled on a monthly or quarterly basis as per the client preference.

4. Auto Cut: In case of any trade experiencing a heavy loss will be auto cut at 80%.

5. Margin Shortage Penalty: If a client has margin shortage, he will be charged 1% of the margin shortage on the first 3 days and 5% thereafter. Also if a client is found margin short for 5 times in a month then 5% will be charged each day every next time he falls short of margin in that month. Also the client will have to call and make a request for the same. Call Charges Rs10 per executed order.

6. Margin Plus (Cover Order): We provide extra leverage on trade done intraday using Margin Plus product. If an order is placed with stop loss within 2% of the market price, we can provide leverage upto 15 times.

7. Auto Square Off: All intraday trades will be auto square off at 3:15 p.m. without any further intimation. For request of admin auto square off before 1 hour of market closing charges will be incurred of Rs 10/- per order.

8. Charge of Delay Penalty on outstanding amount if Debit not Cleared by T+2 Days:

Although the selling in accounts with continuous debits may be done by RMS division on any day after T+2, normally RMS selling done on T+5 days, but for all the debits standing for more than T+2 days, an interest shall be chargeable **@18% p.a. on such debits from date of debit i.e. the purchase date of the stock.**

9. RMS division may sell the stock any day after T+2 days on non-payment, but normally in following Cases RMS Division shall sell the stock on T+5 days, without any prior information to Client/ Business Partner / Branch:

(i) In case of Continuous Debit for last 5 days: Stock will be sold on T+5 day.

For example, if Debit comes in any client code on Monday (i.e.08/09/14) and it is not cleared by Saturday (i.e.13/09/14), then stock of the client will be sold on next Monday (i.e.15/09/14)

(ii) In case Client's Cheque returns: If a client's cheque is returned by bank due to insufficient funds, and if Ledger Balance is negative, then stock will be sold up to the amount of negative Ledger Balance or cheque returned amount, whichever is lower. Further a penalty of Rs 500/- or 1% of the cheque returned amount, whichever is lower will be charged in Client's Code.

Disclaimer: Share Shoppe reserves the right to change the above policies any time in general or in particular case within the Exchange / SEBI regulations / guidelines.